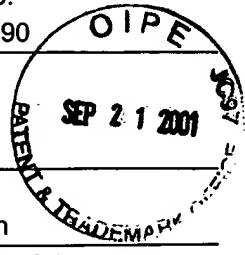


Att #4

<b>Form PTO-1449</b> (modified)  List of Patents and Publications for Applicant's  <b>INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)		Atty. Docket No. INRP:021USC1/SLH	Serial No. 09/922,490
		Applicants Richard J. Cristiano Dao Nguyen	
		Filing Date: August 3, 2001	Group: Unknown
U.S. Patent Documents <i>See Page 1</i>	Foreign Patent Documents <i>See Page 1</i>	Other Art <i>See Page 1</i>	



**U.S. Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
A	A1	5,399,346	03/21/95	Anderson <i>et al.</i>	424	93.21	3/30/94
V	A2	5,604,090	2/18/97	Alexander, <i>et al.</i>	435	5	6/6/94
	A3	5,747,469	5/5/98	Roth, <i>et al.</i>	514	44	4/25/94

**Foreign Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1	WO 95/33824	12/14/95	PCT			

**Other Art (Including Author, Title, Date Pertinent Pages, Etc.)**

Exam. Init.	Ref. Des.	Citation
P	C1	Baichwal and Sugden, "Vectors for gene transfer derived from animal DNA viruses: Transient and stable expression of transferred genes", <i>In: Kucherlapati R, ed. Gene transfer. New York: Plenum Press, pp. 117-148, 1986.</i>
	C2	Bargonetti <i>et al.</i> , "Wild-type but not mutant p53 immunopurified proteins bind to sequences adjacent to the SV40 origin or replication, " <i>Cell</i> , 65:1083-1091, 1991.
	C3	Benvenisty and Neshif, "Direction introduction of genes into rats and expression of the genes", <i>Proc. Nat'l Acad. Sci. USA</i> , 83:9551-9555, 1986.
	C4	Bitter <i>et al.</i> , "Expression and secretion for yeast", <i>Methods in Enzymol.</i> , 153:516-544, 1987.
	C5	Cancerlit Database, AN 96601955, Stevens <i>et al.</i> , <i>Pro. Annu. Meet Am Assoc Cancer Res</i> , 36:A2518, abstract, 1995.
	C6	Cancerlit Database, AN 97604989, Cerniglia <i>et al.</i> , <i>Pro Annu. Meet Am Assoc Cancer Res</i> , 37:A2344, abstract, 1996.
	C7	Cancerlit Database, AN 97604990, Zeng <i>et al.</i> , <i>Pro. Annu. Meet Am Assoc Cancer Res</i> , 37:A2345, abstract, 1996.













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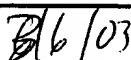
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### Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C8	Casey <i>et al.</i> , "Growth suppression of human breast cancer cells by the introduction of a wild-type p53 gene", <i>Oncogene</i> , 6:1791-1797, 1991.
	C9	Chang <i>et al.</i> , "Foreign gene delivery and expredion in hepatocytes using a hepatitis B virus vector", <i>Hepatology</i> , 14:134A, 1991.
	C10	Chen and Okayama, "High-efficiency transfection of mammalian cells by plasmid DNA", <i>Mol. Cell Biol.</i> , 7:2745-2752, 1987.
	C11	Coffin, "Retroviridae and their replication", <i>In: Virology</i> , Fields <i>et al.</i> (eds.), New York: Raven Press, pp. 1437-1500, 1990.
	C12	Couch <i>et al.</i> , "Immunization with types 4 and 7 adenovirus by selective infection of the intestinal tract", <i>Am. Rev. Resp. Dis.</i> , 88:394-403, 1963.
	C13	Coupar <i>et al.</i> , "A general method for the construction of recombinant vaccinia virus expressing multiple foreign genes", <i>Gene</i> , 68:1-10, 1988.
	C14	Cusack <i>et al.</i> , "High levels of gene transduction in human lung tumors following intralesional injection of recombinant adenovirus, " <i>Cancer Gene Ther.</i> , 3(4):345-249, 1996.
	C15	Diller <i>et al.</i> , "p53 Functions as a Cell Cycle Control Protein in Osteosarcomas", <i>Mol. Cell Biol.</i> , 10(11):5772-5781, 1990.
	C16	Dubensky <i>et al.</i> , "Direct transfection of viral and plasmid DNA into the liver or spleen of mice", <i>Proc. Nat. Acad. Sci. USA</i> , 81:7529-7533, 1984.
	C17	Eastman, "Activation of programmed cell death by anticancer agents: cisplatin as a model system, " <i>Cancer Cells</i> , 2:275-280, 1990.
	C18	Fechheimer <i>et al.</i> , "Transfection of mammalian cells with plasmid DNA by scrape loading and sonication loading", <i>Proc. Nat'l Acad. Sci. USA</i> , 84:8463-8467, 1987.
	C19	Ferkol <i>et al.</i> , "Regulation of the phosphoenolpyruvate carboxykinase/human factor IX gene introduced into the livers of adult rats by receptor-mediated gene transfer, " <i>FASEB J.</i> , 7:1081-1091, 1993.
	C20	Fields <i>et al.</i> , "Presence of a potent transcription activating sequence in the p53 portein, " <i>Science</i> , 249:1046-1049, 1990.

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Exam. Init.	Ref. Des.	Citation
	C21	Fraley <i>et al.</i> , "Entrapment of a bacterial plasmid in phospholipid vesicles: Potential for gene transfer", <i>Proc. Nat'l Acad. Sci. USA</i> , 76:3348-3352, 1979.
	C22	Freshney, "Animal Cell Culture: A Practical Approach", Second Edition, Oxford/New York, IRL Press, Oxford University Press, 1992.
	C23	Friedmann, "Progress toward human gene therapy", <i>Science</i> , 244:1275-1281, 1989.
	C24	Fritsche <i>et al.</i> , "Induction of nuclear accumulation of the tumor-suppressor protein p53 by DNA-damaging agents", <i>Oncogene</i> , 8:307-318, 1993.
	C25	Gavrieli <i>et al.</i> , "Identification of Programmed Cell Death <i>In Situ</i> Via Specific Labeling of Nuclear DNA Fragmentation", <i>J. Cell Biol.</i> , 119:493-501, 1992.
	C26	Ghosh and Bachhawat, "Targeting of liposomes to hepatocytes", <i>In: Wu G. and C. Wu ed. Liver diseases, targeted diagnosis and therapy using specific receptors and ligands.</i> New York: Marcel Dekker, pp. 87-104, 1991.
	C27	Ghosh-Choudhury, <i>et al.</i> , "Protein IX, a minor component of the human adenovirus capsid, is essential for the packaging of full length genomes", <i>EMBO J.</i> , 6:1733-1739, 1987.
	C28	Gomez-Foix <i>et al.</i> , "Adenovirus-mediated transfer of the muscle glycogen phosphorylase gene into hepatocytes confers altered regulation of glycogen", <i>J. Biol. Chem.</i> , 267:25129-25134, 1992.
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	C30	Gopal, "Gene transfer method for transient gene expression, stable transfection, and cotransfection of suspension cell cultures", <i>Mol. Cell Biol.</i> , 5:1188-1190, 1985.
	C31	Graham and Prevec, "Manipulation of adenovirus vectors." <i>In: E.J. Murray (ed.), Methods in Molecular Biology, Gene Transfer and Expression Protocols</i> , New Jersey: The Humana Press Inc., 109-128, 1991.
	C32	Graham and Van Der Eb, "A new technique for the assay of infectivity of human adenovirus 5 DNA", <i>Virology</i> , 52:456-467, 1973.
	C33	Graham, <i>et al.</i> , "Characteristics of a human cell line transformed by DNA from human adenovirus type 5", <i>J. Gen Virol.</i> 36:59-72, 1977.

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Exam. Init.	Ref. Des.	Citation
	C34	Harland and Weintraub, "Translation of mammalian mRNA injected into <i>Xenopus</i> oocytes is specifically inhibited by antisense RNA", <i>J. Cell Biol.</i> , 101:1094-1099, 1985.
	C35	Hermonat and Muzycska, "Use of adenoassociated virus as a mammalian DNA cloning vector: Transduction of neomycin resistance into mammalian tissue culture cells", <i>Proc. Nat'l Acad. Sci. USA</i> , 81:6466-6470, 1984.
	C36	Hersdorffer <i>et al.</i> , "Efficient gene transfer in live mice using a unique retroviral packaging line", <i>DNA Cell Biol.</i> , 9:717-723, 1990.
	C37	Herz and Gerard, "Adenovirus-mediated transfer of low density lipoprotein receptor gene acutely accelerates cholesterol clearance in normal mice", <i>Proc. Nat'l Acad. Sci. USA</i> 90:2812-2816, 1993.
	C38	Hollstein <i>et al.</i> , "p53 mutations in human cancers", <i>Science</i> , 253:49-53, 1991.
	C39	Horwich <i>et al.</i> , "Synthesis of hepadenovirus particles that contain replication-defective duck hepatitis B virus genomes in cultured HuH7 cells", <i>J. Virol.</i> , 64:642-650, 1990.
	C40	Jones and Shenk, "Isolation of deletion and substitution mutants of adenovirus type 5", <i>Cell</i> , 13:181-188, 1978.
	C41	Kaneda <i>et al.</i> , "Increased expression of DNA cointroduced with nuclear protein in adult rat liver", <i>Science</i> , 243:375-378, 1989.
	C42	Karlsson <i>et al.</i> , "Stable gene transfer and tissue-specific expression of a human globin gene using adenoviral vectors," <i>EMBO J.</i> , 5:2377-2385, 1986.
	C43	Kato <i>et al.</i> , "Expression of hepatitis b virus surface antigen in adult rat liver", <i>J. Biol. Chem.</i> , 266:3361-3364, 1991.
	C44	Kaufman, "Induction of endonucleolytic dna cleavage in human acute myelogenous leukemia cells by etoposide, camptothecin, and other cytotoxic anticancer drugs: a cautionary note", <i>Cancer Res.</i> , 49:5870-5878, 1989.
	C45	Klein <i>et al.</i> , "High-velocity microprojectiles for delivering nucleic acids into living cells", <i>Nature</i> , 327:70-73, 1987.
	C46	Le Gal La Salle <i>et al.</i> , "An adenovirus vector for gene transfer into neurons and glia in the brain", <i>Science</i> 259:988-990, 1993.

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List of Patents and Publications for Applicant's		Applicants Richard J. Cristiano Dao Nguyen	
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Exam. Init.	Ref. Des.	Citation
N	C47	Levrero <i>et al.</i> , "Defective and nondefective adenovirus vectors for expressing foreign genes <i>in vitro</i> and <i>in vivo</i> ", <i>Gene</i> , 101:195-202, 1991.
	C48	MacGregor <i>et al.</i> , "Histochemical staining of clonal mammalian cell lines expressing <i>E. coli</i> beta galactosidase indicates heterogeneous expression of the bacterial gene, " <i>Somat Cell Mol. Genet.</i> , 13:253-265, 1987.
	C49	Mann <i>et al.</i> , "Construction of a retrovirus packaging mutant and its use to produce helper-free defective retrovirus", <i>Cell</i> , 33:153-159, 1983.
	C50	Markowitz <i>et al.</i> , "A safe packaging line for gene transfer: Separating viral genes on two different plasmids", <i>J. Virol.</i> , 62:1120-1124, 1988.
	C51	Mercer, "Cell cycle regulation and the p53 tumor suppressor protein", <i>Critic. Rev. Eukar. Gene Express.</i> 2:251-263, 1992.
	C52	Mietz <i>et al.</i> , "The transcriptional transactivation function of wild-type p53 is inhibited by SV40 large T-antigen and by HPV-16 E6 oncoprotein, " <i>EMBO J.</i> , 11:5013-5020, 1992.
	C53	Mizrahi, "Production of human interferons: An overview", <i>Process Biochem.</i> , 18(4):9-12, 1983.
	C54	Mulligan, "The Basic Science of Gene Therapy", <i>Science</i> , 260:926-932, 1993.
	C55	Nguyen <i>et al.</i> , "Enhancement of gene transduction in human carcinoma cells by DNA-damaging agents, " " <i>Proceedings of the American Association of Cancer Research</i> , 37:347, Abstract No. 2369, 1996.
	C56	Nguyen <i>et al.</i> , "Gene therapy for lung cancer: Enhancement of tumor suppression by a combination of sequential systemic cisplatin and adenovirus-mediated p53 gene transfer, " <i>J. Thorac. Cardiovasc. Surg.</i> , 112(5):1372-1377, 1996.
	C57	Nguyen <i>et al.</i> , "Gene therapy for lung cancer: Enhancement of tumor suppression by a combination of systemic cisplatin and adenovirus-mediated p53 gene transfer, " <i>Proceedings of the American Association of Cancer Research</i> , 37:347-348, Abstract No. 2370, 1996.
	C58	Nicolas and Rubenstein, "Retroviral vectors", In: <i>Vectors: A survey of molecular cloning vectors and their uses</i> , Rodriguez and Denhardt (eds.), Stoneham: Butterworth, pp. 494-513, 1988.
	C59	Nicolau and Sene, "Liposome-mediated DNA transfer in eukaryotic cells", <i>Biochem. Biophys. Acta</i> , 721:185-190, 1982.

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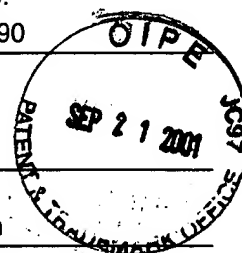
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Exam. Init.	Ref. Des.	Citation
	C60	Nicolau <i>et al.</i> , "Liposomes as carriers for <i>in vivo</i> gene transfer and expression", <i>Methods Enzymol.</i> , 149:157-176, 1987.
	C61	Paskind <i>et al.</i> , "Dependence of moloney murine leukemia virus production on cell growth", <i>Virology</i> , 67:242-248, 1975.
	C62	Perales <i>et al.</i> , "Gene transfer in vivo: sustained expression and regulation of genes introduced into the liver by receptor-targeted uptake," <i>Proc. Natl. Acad. Sci. USA</i> , 91:4086-4090, 1994.
	C63	Phillips <i>et al.</i> , <i>In: Large Scale Mammalian Cell Culture</i> (Feder, J. and Tolbert, W. R., eds.), Academic Press, Orlando, FL, U.S.A., pp. 87-95, 1985.
	C64	Ponder <i>et al.</i> , "Mouse hepatocytes migrate to liver parenchyma and function indefinitely after intraslenic transplantation," <i>Proc. Natl. Acad. Sci. USA</i> , 88:1217-1221, 1991.
	C65	Potter <i>et al.</i> , "Enhancer-dependent expression of human k immunoglobulin genes introduced into mouse pre-B lymphocytes by electroporation", <i>Proc. Nat'l Acad. Sci. USA</i> , 81:7161-7165, 1984.
	C66	Ragot <i>et al.</i> , "Efficient adenovirus-mediated transfer of a human minidystrophin gene to skeletal muscle of mdx mice", <i>Nature</i> , 361:647-650, 1993.
	C67	Renan, "Cancer genes: current status, future prospects, and applicants in radiotherapy/oncology", <i>Radiother. Oncol.</i> , 19:197-218, 1990.
	C68	Ridgeway, "Mammalian expression vectors", <i>In: Rodriguez RL, Denhardt DT, ed. Vectors: A survey of molecular cloning vectors and their uses.</i> Stoneham: Butterworth, pp. 467-492, 1988.
	C69	Rippe <i>et al.</i> , "DNA-mediated gene transfer into adult rat hepatocytes in primary culture", <i>Mol. Cell Biol.</i> , 10:689-695, 1990.
	C70	Rosenfeld <i>et al.</i> , "Adenovirus-mediated transfer of a recombinant a1-antitrypsin gene to the lung epithelium <i>in vivo</i> ", <i>Science</i> , 252:431-434, 1991.
	C71	Rosenfeld <i>et al.</i> , " <i>In vivo</i> transfer of the human cystic fibrosis transmembrane conductance regulator gene to the airway epithelium", <i>Cell</i> , 68:143-155, 1992.
	C72	Roux <i>et al.</i> , "A versatile and potentially general approach to the targeting of specific cell types by retroviruses: Application to the infection of human cells by means of major histocompatibility complex class I and class II antigens by mouse ecotropic murine leukemia virus-derived viruses", <i>Proc. Natl. Acad. Sci. USA</i> , 86:9079-9083, 1989.

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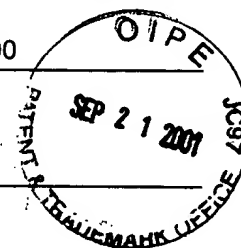
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	C73	Russell <i>et al.</i> , "DNA synthesis and topoisomerase inhibitors increase transduction by adeno-associated virus vectors," <i>Proc. Natl. Acad. Sci. USA</i> , 92:5719-5723, 1995.
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	C75	Son & Huang, "Exposure of human ovarian carcinoma to cisplatin transiently sensitizes the tumor cells for liposome-mediated gene transfer," <i>Proc. Natl. Acad. Sci. USA</i> , 91:12669-12672, 1994.
	C76	Takahashi <i>et al.</i> , "Wild-type but not mutant p53 suppresses the growth of human lung cancer cells bearing multiple genetic lesions," <i>Cancer Res.</i> , 52:2340-2343, 1992.
	C77	Temin, "Retrovirus vectors for gene transfer: Efficient integration into and expression of exogenous DNA in vertebrate cell genome", <i>In: Gene Transfer</i> , Kucherlapati (ed.), New York: Plenum Press, pp. 149-188, 1986.
	C78	Top <i>et al.</i> , "Immunization with live types 7 and 4 adenovirus vaccines. II. Antibody response and protective effect against acute respiratory disease due to adenovirus type 7", <i>J. Infect. Dis.</i> , 124:155-160, 1971.
	C79	Tur-Kaspa <i>et al.</i> , "Use of electroporation to introduce biologically active foreign genes into primary rat hepatocytes", <i>Mol. Cell Biol.</i> , 6:716-718, 1986.
	C80	Varmus <i>et al.</i> , "Retroviruses as mutagens: Insertion and excision of a non-transforming provirus alter the expression of a resident transforming provirus", <i>Cell</i> , 25:23-36, 1981.
	C81	Wagner <i>et al.</i> , "Antisense gene inhibition by oligonucleotides containing C-5 propyne pyrimidines," <i>Science</i> , 260:1510-1513, 1990.
	C82	Weinberg, "Tumor suppressor gene", <i>Science</i> , 254:1138-1146, 1991.
	C83	Wilcock and Lane, "Localization of p53, retinoblastoma and host replication proteins at sites of viral replication in herpes-infected cells," <i>Nature</i> , 349:429-431, 1991.
	C84	Wong <i>et al.</i> , "Appearance of b-lactamase activity in animal cells upon liposome mediated gene transfer", <i>Gene</i> , 10:87-94, 1980.
	C85	Wu and Wu, "Evidence for targeted gene delivery to HepG2 hepatoma cells <i>in vitro</i> ", <i>Biochemistry</i> , 27:887-892, 1988.

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①	C86	Wu and Wu, "Liver-directed gene delivery" <i>Adv. Drug Delivery Rev.</i> , 12:159-167, 1993.
	C87	Wu and Wu, "Receptor mediated <i>in vitro</i> gene transfections by a soluble DNA courier system", <i>J. Biol. Chem.</i> , 262:4429-4432, 1987.
	C88	Yang <i>et al.</i> , "In vivo and <i>in vitro</i> gene transfer to mammalian somatic cells by particle bombardment", <i>Proc. Nat'l Acad. Sci. USA</i> , 87:9568-9572, 1990.
	C89	Yonish-Rouach <i>et al.</i> , "Wild-type p53 induces apoptosis of myeloid leukaemic cells that is inhibited by interleukin-6, " <i>Nature</i> , 352:345-347, 1991.
	C90	Zakut-Houri <i>et al.</i> , "Human p53 cellular tumor antigen: CDNA sequence and expression in COS cells, " <i>EMBO J.</i> , 4:1251-1255, 1985.
	C91	Zelenin <i>et al.</i> , "High-velocity mechanical DNA transfer of the chloramphenicol acetyltransferase gene into rodent liver, kidney and mammary gland cells in organ explants and <i>in vivo</i> ", <i>FEBS Lett.</i> , 280:94-96, 1991.
	C92	Zhang <i>et al.</i> , "Detection of Wild-type Contamination in a Recombinant Adenoviral Preparation by PCR™", <i>BioTechniques</i> , 18:444-447, 1995.
W	C93	Zhang <i>et al.</i> , "Safety Evaluation of Ad5CMV-p53 <i>In Vitro</i> and <i>In Vivo</i> ", <i>Human Gene Ther.</i> , 6:155-164, 1995.

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Examiner:

Date Considered:

EXAMINER: initial if reference considered, whether or not citation is in conformance with MPEP609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement — PTO-1449 (Modified)